

**UTILITY
PATENT APPLICATION
TRANSMITTAL**
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 20441US-2RD
First Inventor or Application Identifier Yasushi KAWAKURA
Title ELECTRONIC AUCTION SYSTEM, METHOD AND COMPUTER...

Assignee Name: KABUSHIKI KAISHA TOSHIBA

Assignee Address: 72, Horikawa-cho, Saiwai-ku, Kawasaki-shi, Kanagawa-ken,
JAPAN

Jc803 U.S. PTO

APPLICATION ELEMENTS

See Chapter 600 concerning utility patent application contents

01/29/01

U.S. PTO

03/29/01

1. Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Specification Total Sheets 29

3. Formal Drawings (35 U.S.C. 113) Total Sheets 12

4. Oath or Declaration Total Pages []

a. Newly executed (original or copy)

b. Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 17 completed)

i. DELETION OF INVENTOR(S)

Signature statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).

- CD-ROM or CD-R in duplicate, large table or Computer
Program (*Appendix*)

- Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)

a. Computer Readable Form (CRF)

b. Specification or Sequence Listing on:

i. CD-ROM or CD-R (2 copies); or

ii. Paper

c. Statements verifying identity of above copies

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. Application Data Sheet. See 37 CFR 1.76
9. 37 C.F.R. §3.73(b) Statement Power of Attorney
(when there is an assignee)
10. English Translation Document (if applicable)
11. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citation (1)
12. Preliminary Amendment
13. White Advance Serial No. Postcard
14. Certified Copy of Priority Document (1)
(if foreign priority is claimed)
15. Applicant claims small entity status.
See 37 CFR 1.27
16. Other: Request for Priority List of Inventors

If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation Divisional Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner: Group Art Unit:

CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

This application is a Continuation Division Continuation-in-part (CIP)
of application Serial No. Filed on

Which was published in English

Which was not published in English

This application claims priority of provisional application Serial No.

Filed

19. CORRESPONDENCE ADDRESS



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Signature:	Date: 3/29/01
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Yasushi KAWAKURA et al

SERIAL NO: New Application

FILING DATE: Herewith

FOR: ELECTRONIC AUCTION SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	26 - 20 =	6	x \$18 =	\$108.00
INDEPENDENT CLAIMS	12 - 3 =	9	x \$80 =	\$720.00
■ MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$270.00
■ LATE FILING OF DECLARATION			+ \$130 =	\$130.00
			BASIC FEE	\$710.00
			TOTAL OF ABOVE CALCULATIONS	\$1,938.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
			TOTAL	\$1,938.00

- Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.
- A check in the amount of **\$1,938.00** to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.
- A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yasushi KAWAKURA et al

FILING DATE: Herewith

FOR: ELECTRONIC AUCTION SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

Yasuhiro SUZUKI Tokyo, JAPAN

A declaration containing all the necessary information will be submitted at a later date.

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